Bid Opening via ZOOM

January 21, 2021 – 8:00AM

Join Zoom Meeting
https://mchenrycc.zoom.us/j/91364578453

Meeting ID: 913 6457 8453
One tap mobile
+13126266799,,91364578453# US (Chicago)
+16465588656,,91364578453# US (New York)

Dial by your location
  +1 312 626 6799 US (Chicago)
  +1 646 558 8656 US (New York)
  +1 301 715 8592 US (Washington D.C)
  +1 669 900 6833 US (San Jose)
  +1 253 215 8782 US (Tacoma)
  +1 346 248 7799 US (Houston)

Meeting ID: 913 6457 8453
Find your local number: https://mchenrycc.zoom.us/u/azzAZjfUY
Summary

McHenry County College requests proposals from companies to provide Landscape Maintenance Service.

Date Issued: January 7, 2021
Service Requested: Landscape Maintenance Service
RFP Closing Date/Time: January 21, 2021 – 8:00am
RFP Opening Date/Time: January 21, 2021 – 8:00am
RFP Contact: Jennifer Jones, Director for Business Services
JJONES@MCHENRY.EDU

Proposals must be delivered via email to biddocuments@mchenry.edu the attention of Jennifer Jones, Director for Business Services, McHenry County College, 8900 US Highway 14, Crystal Lake, IL 60012 on or before January 21, 2021, 8:00am CST.

All late proposals will be rejected.

All proposals must be signed by a duly authorized representative of the firm.

All unsigned proposals will be automatically rejected.

SPECIAL NOTE: This Request for Proposal (RFP) does not obligate McHenry County College (MCC) or its Board of Trustees to award a contract or complete the proposed project, and each reserves the right to cancel this RFP if it is considered to be in its best interest. Proposals must be clear and concise. Proposals that are difficult to follow or that do not conform to the RFP format or binding specifications, may be rejected. Responding vendors must include the required information called for in this RFP. MCC reserves the right to reject a proposal if required information is not provided or is not organized as directed. MCC also reserves the right to change the evaluation criteria or any other provision in this RFP by posting notice of the change(s) on MCC’s RFP website, www.mchenry.edu/bid. For this RFP, posting on the captioned website above constitutes written notification to each vendor. Vendors should check the site daily and are expected to review information on the site carefully before submitting a final proposal.

McHenry County College administration will evaluate all proposals. A recommendation to enter into an agreement with the successful bidder will be presented to the Board of Trustees at the February 25, 2021 board meeting.

We appreciate your interest in McHenry County College and look forward to your response.
REQUEST FOR PROPOSAL

Landscape Maintenance Service

RFP #01212021

Issue Date: January 7, 2021
RFP Response Deadline: January 21, 2021
RFP Bid Opening: January 21, 2021

McHenry County College
8900 US Highway 14
Crystal Lake, Illinois 60012-2761
Telephone: (815) 455-3700
# Table of Content

PART 0- INSTRUCTIONS TO THE BIDDERS ......................................................................................................................... 2
INVITATION TO BID ......................................................................................................................................................... 2
BACKGROUND ............................................................................................................................................................. 2
CONTACT INFORMATION .................................................................................................................................................. 2
KEY EVENT DATES .......................................................................................................................................................... 3
SUBMISSION OF PROPOSALS ........................................................................................................................................ 3
CONTRACTOR INFORMATION .......................................................................................................................................... 3
PROJECT TOURS ............................................................................................................................................................. 3

PART 1- GENERAL CONDITIONS ......................................................................................................................................... 4
SCOPE OF WORK ............................................................................................................................................................. 4
DURATION OF AGREEMENT ........................................................................................................................................... 4
QUALITY CONTROL ......................................................................................................................................................... 4
QUALIFICATIONS OF WORKERS ..................................................................................................................................... 5
INSURANCE ..................................................................................................................................................................... 5
INDEMNIFICATION .......................................................................................................................................................... 6
PAYMENTS ....................................................................................................................................................................... 6
CODES AND STANDARDS ............................................................................................................................................... 7
TAXES ............................................................................................................................................................................. 7
PROJECT CONDITIONS .................................................................................................................................................... 7
SEQUENCING AND SCHEDULING .................................................................................................................................. 7

PART 2- LANDSCAPE SERVICES ....................................................................................................................................... 9
TURF MAINTENANCE .......................................................................................................................................................... 9
TREE AND SHRUB MAINTENANCE ................................................................................................................................ 10
GROUND COVER & BEDS .............................................................................................................................................. 10
IRRIGATION SERVICES ................................................................................................................................................... 11
EXTERIOR COLOR MAINTENANCE ................................................................................................................................ 11
OTHER SERVICES .......................................................................................................................................................... 12

PART 3- MISCELLANEOUS PROVISIONS .......................................................................................................................... 13
OWNER RESPONSIBILITIES AND RIGHTS .......................................................................................................................... 13
MISCELLANEOUS CONTRACT PROVISIONS .................................................................................................................... 13
ARBITRATION .................................................................................................................................................................. 13
TERMINATION OF AGREEMENT ................................................................................................................................ 14
CONFLICT OF LAWS, ASSIGNMENT & INTEGRATION CLAUSES .............................................................................. 14
PREVAILING WAGE ACT .................................................................................................................................................. 14
HUMAN RIGHTS ACT ....................................................................................................................................................... 14
DRUG FREE WORKPLACE ............................................................................................................................................... 14
SEXUAL HARASSMENT POLICY ................................................................................................................................... 14

PART 4- BID FORMS .......................................................................................................................................................... 15
BID FORM PRICE AUTHORIZATION .................................................................................................................................. 15
BIDDER QUALIFICATIONS: ................................................................................................................................................ 16
INSURANCE REQUIREMENTS .......................................................................................................................................... 17
SUMMARY OF EXPERIENCE ........................................................................................................................................ 18
QUALITY ASSURANCE PROCEDURES ............................................................................................................................ 19
REFERENCES ................................................................................................................................................................... 20
LABOR ESTIMATES: .......................................................................................................................................................... 21
CONTRACTOR CERTIFICATION ........................................................................................................................................ 22
INVITATION TO BID
McHenry County College (MCC) invites your firm to submit a proposal in accordance with this Request for Proposal (RFP) for Landscape Maintenance Service for its two campuses located in Crystal Lake, Illinois and McHenry, Illinois. Your response to this request will be evaluated to determine the qualifications of your firm. Proposals must adhere to the format and content of this RFP. Proposals will not be evaluated unless all parts requested are submitted in a complete package. The information set forth is the minimum required in order to qualify for consideration. The successful bidder shall be required to enter into a landscape maintenance agreement based on the specifications outlined in this RFP.

BACKGROUND
McHenry County College is a community college offering pre-baccalaureate programs for students planning to transfer to a four-year university, occupational education leading directly to employment, adult education and literacy programs, work force and workplace development services, and support services to help students succeed. McHenry County College serves one of the fastest growing counties in Illinois. MCC is located forty-five miles northwest of downtown Chicago, the college is committed to providing high quality, need-based educational and training opportunities to adult residents of Community College District 528. Nearly 250,000 residents live within the MCC district boundaries. The campus is located at 8900 U.S. Highway 14, Crystal Lake, IL 60012. The Shah Center, located at 4100 W. Shamrock Lane, McHenry, IL 60050, is the Center for Corporate Training and the Illinois Small Business Development Center. The center provides training and assistance to over 600 area businesses and close to 9,000 individuals each year. Services range from one-on-one counseling for entrepreneurs to customized on-site employee training seminars.

CONTACT INFORMATION
The contact, identified below, is the sole point of contact regarding the RFP from the date of issuance until selection of the successful vendor.

Jennifer Jones
Director of Business Support Services
McHenry County College
8900 US Highway 14
Building A, Room 246
Crystal Lake, IL 60012
Email: jjones@mchenry.edu
KEY EVENT DATES

<table>
<thead>
<tr>
<th>Event Description</th>
<th>Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>MCC Issues RFP listed at <a href="http://www.mchenry.edu/bid">www.mchenry.edu/bid</a> RFP01212021 - Landscape Maintenance</td>
<td>January 7, 2021</td>
</tr>
<tr>
<td>Last day for vendors questions via email <a href="mailto:jones@mchenry.edu">jones@mchenry.edu</a></td>
<td>January 14, 2021</td>
</tr>
<tr>
<td>Response to vendor questions will be listed by addendum at <a href="http://www.mchenry.edu/bid">www.mchenry.edu/bid</a></td>
<td>January 15, 2021</td>
</tr>
<tr>
<td>Bid Due Date</td>
<td>January 21, 2021 – 8:00am <a href="mailto:biddocuments@mchenry.edu">biddocuments@mchenry.edu</a></td>
</tr>
<tr>
<td>Bid Opening Date</td>
<td>January 21, 2021 – 8:00am</td>
</tr>
<tr>
<td>Recommendation to Board of Trustees</td>
<td>February 25, 2021</td>
</tr>
<tr>
<td>Notification of Award by</td>
<td>March 1, 2021</td>
</tr>
<tr>
<td>Contract Start Date</td>
<td>Date of Contract Full Execution</td>
</tr>
<tr>
<td>Project Manager</td>
<td>Rickey Sparks</td>
</tr>
<tr>
<td>Site Visit (9:00am-3:00pm)</td>
<td>AVP Facilities Management 815-455-8564</td>
</tr>
</tbody>
</table>

Questions may not be submitted by telephone. All questions must include: Reference to the specific section of the RFP in question; Contact name, telephone number fax number and email address.

Questions from RFP participants and the corresponding response will be shared with all bidders by email. All questions shall be received by January 14, 2021. Questions submitted after that time shall not be addressed.

SUBMISSION OF PROPOSALS

In order to qualify for the work on this project, bidders must submit all information requested in the following bid forms. Submit one (1) original via email.

All proposals must remain in effect for at least 60 days from submittal. McHenry County College has the sole discretion to: (a) reject any and all bid proposals, and (b) negotiate the modification of any and all proposals with any bidder in whatever manner it deems in its best interests. There is no guarantee, either expressed or implied, that award of a landscaping contract will be made to any firm.

CONTRACTOR INFORMATION

McHenry County College may request additional information, samples, or presentations in support of proposals. Additionally, McHenry County College may perform an interview with contractors under consideration to clarify any information provided, or to gather more evidence of managerial, financial, and technical abilities.

PROJECT TOURS

Before submitting a bid, each bidder shall have the opportunity to thoroughly examine the Project and fully understand the conditions that in any way may affect the work proposed. Failure to inspect the Sites will in no way relieve the successful contractor from the necessity of furnishing any materials or performing any labor necessary for the satisfactory completion of the work.

A project tour can be scheduled by contacting Rickey Sparks. All bidders are invited to tour the property, on their own if they would like. Each bidder will be provided with property information on request. Inquiries for specific information must be submitted in writing and will be answered via addendum (see Key Event Dates).
PART 1 - GENERAL CONDITIONS

SCOPE OF WORK
The Landscape Maintenance Contractor (hereinafter called the “Contractor”) shall recognize and perform in accordance with all stated intents, specifications and stipulations contained or referenced herein (including section zero, “General Information Pertinent to Proposal Preparation”)

Each bidder shall be responsible for researching the existing conditions and matters that affect the cost or performance of the services.

The Landscape Contractor shall furnish all labor, equipment, tools, services, skills, etc., required to maintain the landscape in an attractive condition throughout the contract period. Maintenance of plant materials shall include but not be limited to mowing, edging, pruning, fertilizing, watering and cleanup.

The intent is to maintain a Level 1 standard of maintenance as defined in the APPA Grounds Standards Index (documents attached to RFP) appearance of the property. Grass cutting will take place only 1 time per week. There will be NO snow removal involved in this contract. Any discrepancies in the understanding of this clause shall be resolved in a manner as determined by Owner.

DURATION OF AGREEMENT
The term of the agreement shall be for a period of three (3) years with an option to renew for an additional two (2), one (1) year periods at the discretion of the Owner.

QUALITY CONTROL
Work covered shall be performed by a single firm experienced in landscape maintenance of a similar nature and scope. Subject to approval of the Owner, the Contractor may subcontract any Work to be performed under this Contract. However, the election to subcontract Work shall not relieve the Contractor from responsibility or liability which it has assumed under this Contract and the Contractor shall remain liable to the same extent that its liability would attach, as if the Work had been performed by the Contractor’s own employees.

By submitting the bid, the Contractor certifies as to meeting the following requirements:

1. Has completed within the past three (3) years a minimum of three (3) projects of similar nature and scope to the work being bid and the type of work completed is similar to that being bid.
2. Maintains a permanent place of business, with a minimum of five (5) years in business.
3. Has access to all necessary equipment and has organizational capacity and technical competence necessary to do the work properly and expeditiously.
GENERAL CONDITIONS

4. Will provide a sworn financial statement upon request, which evidences the Bidder has adequate financial resources to complete the work being bid, as well as all other work the Bidder is presently under contract to complete.

5. Has a documented safety program with a history of satisfactory past performance.

6. The Landscape Maintenance Contractor for work under this section shall be licensed by the State of Illinois to apply insecticides approved for use in the State of Illinois.

7. Has a record of satisfactorily completing past projects. Criteria which will be considered in determining satisfactory completion of projects by Contractor will include:
   a. Completed past contracts in accordance with the Contract Documents.
   b. Diligently pursued execution of the work and completed past contracts according to established schedules.

QUALIFICATIONS OF WORKERS
Bidding firm shall have in its employ, a minimum of one (1) currently Certified Landscape Professional (CLP) as recognized by the Associated Landscape Contractors of America (ALCA). The signed bid form statement shall include the seal and signature and expiration date of the Certified Landscape Professional on the staff of the Bidding Firm.

Bidding firm shall have in its employ, a minimum of one (1) currently Certified Landscape Technician: who is currently a Certified Landscape Technician (CLT) as recognized by the Associated Landscape Contractors of America (ALCA). The signed bid form statement shall include the seal and signature and expiration date of the Certified Landscape Technician on the staff of the Contractor.

INSURANCE
Throughout the term of this Agreement, the Contractor shall maintain at his sole expense, effective insurance covering his activities at the project premises. Said insurance shall be secured from a company(s) licensed to do business in the locale of the Project premises. The Contractor shall furnish the Owner with documentation of this insurance coverage. Such insurance shall be in the amounts stated below. Should the Owner require insurance in excess of these amounts, the Owner shall reimburse the Contractor to the actual cost of obtaining the required excess insurance.

1. Worker's Compensation Insurance:
   a. As required by State of locale of the Project for all of the Contractor's employees engaged in Work associated with the Project.
   b. The Contractor shall maintain Employer's Liability Insurance with a policy limit of not less than $100,000 each accident, $500,000 disease policy limit, $100,000 disease each employee.

2. General Liability Insurance:

   The Contractor shall maintain during the life of this Contract, and until one year after completion of this Contract, Commercial General Liability Insurance, including Products and Completed Operations for all claims that might occur in carrying out the Contract. Minimum coverage shall be $1,000,000 per occurrence, $1,000,000 general aggregate per project, combined single limit for bodily injury, personal injury and property damage. Such coverage shall be of the "occurrence" type form. Such General Liability coverage shall include employees of the Contractor as insured's.
GENERAL CONDITIONS

3. Auto Liability Insurance:

Contractor shall procure and shall maintain during the life of the Contract, Commercial Automobile Liability Insurance for all owned, non-owned and hired vehicles that are used in carrying out the Contract. Minimum coverage shall be $1,000,000 per occurrence combined single limit for Bodily Injury and property damage.

4. Scope of Insurance and Special Hazards:

The insurance required shall provide adequate protection for the Contractor against damage claims that may arise from operations under this Contract, whether such operation is by the insured and also against any of the special hazards that may be encountered in the performance of this Contract.

Each of the policies described above shall include the Owner as an Additional Insured as respects the activities carried out under this Contract.

5. Proof of Insurance:

Contractor shall provide a certificate of insurance from a company licensed to do business in the State of the Project locale indicating coverage is in place at the limits set forth in this Article. The insurer shall give the Owner thirty (30) day notice of cancellation or changes in coverage. The insurance certificate shall be provided before commencement of the Contract.

INDEMNIFICATION

Contractor shall indemnify, reimburse and hold the Owner harmless against any and all claims, damages, causes of action, liabilities, expenses, costs and fees, including attorney fees, that Owner incurs arising out of or occurring in connection with Contractor’s performance of the work pursuant to this Agreement.

PAYMENTS

Contractor shall submit an Application Payment/Invoice, to the Owner, for services on a monthly basis. The Owner shall render the Contractor regularly scheduled payments in remuneration for the Contractor’s Maintenance Services in amounts as specified. Said payments shall be made in advance of each scheduled period, net (30) days from the Contractor’s invoice date. Unpaid invoices over 30 days, shall incur a service and finance charge of 1.5% per month. Failure to pay any billing due shall entitle the Contractor, at their option, to withdraw their services with seven (7) days’ notice.

The Owner shall give prompt reply to all questions or requests of the Contractor pertaining to the Project; and shall promptly approve or reject all Contractor submittals. The Owner shall perform the final inspection(s) within five days of the date of notification by the Contractor of substantial completion of the Work.
LANDSCAPE SERVICES

CODES AND STANDARDS
Perform all work in compliance with applicable requirements of governing authorities having jurisdiction. The following publications of the issues listed below, but referred to hereafter by basic designation only, form a part of this specification to the extent designated by references thereto:


2. Comply with all Federal and State Department of Agriculture regulations for pest control which, in general, require that Contractors operating in infested areas thoroughly clean all equipment units before moving them to non-infested areas.

TAXES
The Bidder shall include in the bid, all Sales, Consumer, Use and other similar taxes required by law. The Owner's tax exemption identification number is E9995-0332.

PROJECT CONDITIONS
In order for the Contractor to properly perform and complete his work, the Owner must cooperate by providing him with access to the work areas and any staging, offloading or preparation areas that are required.

The Contractor shall be familiar with the Project premises and how the existing conditions will affect his work during maintenance services. The Contractor shall visit and examine the site to become acquainted with the adjacent areas, means of approach to the site and conditions of actual job site. Failure to visit the site or failure to examine any and all Contract Documents will in no way relieve the Contractor from necessity of furnishing any materials or equipment, or performing any work that may be required to complete the work in accordance with the RFP. Neglect of above requirements will not be accepted as reason for delay in the work or additional compensation.

The Owner shall provide full information regarding all requirements for the Project, not specifically contained herein, and shall establish and maintain an effective communications system with the Contractor throughout all phases of the Work.

SEQUENCING AND SCHEDULING
Upon acceptance of the Bid, the Contractor shall coordinate with the Owner to arrange a schedule of services and shall continue coordination at intervals set forth by the Owner.

The Owner shall diligently endeavor to facilitate the Contractor's work by providing reasonable access to all work areas. The Owner shall facilitate the Contractor's Services program by providing access to the project premises during both regular business hours and, as is necessary, at other times so that the Contractor can conduct both regular, scheduled maintenance and any special service(s). Further, the Owner shall assure the Contractor of the availability of off-loading and staging areas and other facilities required for the efficient performance of the work.
LANDSCAPE SERVICES
Shall consist of a complete, regularly scheduled program (and as agreed upon with Owner) for maintaining the health and appearance of the Project's landscape and plantings. These services shall be carried out by trained service technician(s) at all times. The Contractor is responsible for all aspects of landscape maintenance during the term of the agreement.

SMOKE AND TOBACCO FREE CAMPUS
To promote a safe, clean and healthy learning environment, and in compliance with the Illinois Smoke-Free Campus Act (110 ILCS 64/1), McHenry County College prohibits the use of tobacco products inside College facilities, College vehicles and on all College property. This includes the burning of any type of cigar, cigarette, pipe, electronic cigarette or any other smoking equipment. The use of smokeless/chewing tobacco is also prohibited. Refusal to comply with this policy will be met with increasingly severe penalties.
TURF MAINTENANCE

Turf Mowing
Lawns shall be mowed at the appropriate height to keep a neat appearance, between 3.25”-3.5” with no more than 1/3 of the leaf blade being removed at each mowing. Once mowing cycle has begun, work must be continuous until cycle is complete. Considering the topography, the Contractor is required to use the proper mowing equipment to provide a high quality cut and minimize the occurrence of unnecessary scalping due to uneven terrain. Mowing equipment shall be maintained to give a clean level cut.

Excessive clippings are to be collected and removed from the job site at the end of each visit. Clippings are not to be left overnight for removal the following day. The use of bagging attachments is recommended but not required.

Permanent fixtures in the turf areas are to be trimmed with weed-eaters to avoid unsightly growth at the base. Care is to be taken at all times when operating around fixtures to prevent damage to them.

Special care should be taken to prevent cutting machines from contacting tree bases.

Turf maintenance should follow species specific guidelines established from an agreed upon expert source such as the University of Illinois Extension service.

Turf Edging
Edging and trimming along curbs, walks, bed edges, and tree wells shall be done to keep a neat appearance. All hard edges shall be mechanically edged once per month during the growing season. Mow strips shall be treated with a non-selective herbicide as needed.

Turf along curbs and sidewalks that cannot be addressed with routine edging operations due to broken curbs or uneven borders are to be treated chemically and/or physically removed with a spade.

Edging that cannot be addressed during regular visits, due to vehicle obstructions, is to be discussed with the owner to achieve a solution.
LANDSCAPE SERVICES
Shah Center-The Solar Panel Array will need particular attention paid to edging and trimming of the graveled areas in the solar panel array and around all stanchions, control panels and the fencing. There are to be no weeds or grasses growing higher than 4” throughout the Solar Array area.

Turf Fertilization & Weed Control
Well-balanced fertilizer shall be used to maintain a healthy green color and shall be applied based upon Contractor’s knowledge and needs of the area.

All lawn areas shall be treated with crabgrass prevention and broadleaf weed control products as necessary.

Do not allow chemicals to leach into shrub and bed areas and into tree root systems. Clean up all fertilizer materials from paved areas immediately after application. If such products are spilled the contractor must respond promptly to collect and properly dispose of the spilled product and clean up the impacted area. Spills should also be reported by the contractor to appropriate environmental regulatory agencies in accordance with the law.

Trash and Debris Removal
During routine maintenance visits the Contractor is responsible for removing trash and debris from the property as happened upon during contracted work.

Curbs, sidewalks, etc., are to be cleaned with mechanical blowers and/or brooms to maintain a neat appearance. Contractor shall not allow large amounts of waste generated during landscaping or mowing activities to enter the College storm drain system (storm water pipes, catch basins, drainage ditches/swales or similar conveyances) where it may result in blockages or detrimental impacts to surface water quality.

Heavy accumulations of sand, gravel, leaves, etc., are to be removed with a shovel and brooms if blowers provide unsatisfactory results.

TREE AND SHRUB MAINTENANCE
Maintain all trees within the project area in the natural shape and size for the particular species. Prune as needed throughout the year, or whenever hazardous conditions exist. Remove low hanging, dead or damaged limbs and foliage four (4) inches in diameter or smaller seven (7) feet above the ground that interfere with vehicular or pedestrian traffic as needed. Ornamental flowering trees are to be pruned at the proper time of year to encourage maximum flower production. Dead or damaged portions of trees should be removed whenever possible.

Shearing and selective pruning techniques are left to the discretion of the Contractor.

Shrubs shall be pruned to retain their natural shape, to promote bloom, and to meet accepted horticultural practices. Prune as needed throughout the year. In some instances unscheduled pruning may have to occur in order to comply with the Department of Transportation sight distance guidelines or building security issues. The College will notify the contractor when these situations occur. Remove broken or damaged branches upon detection. Growth shall be kept from encroaching on signs, walkways, driveways, and ventilation units.

Prune flowering shrubs immediately after blooming so that later pruning will not remove next season’s flowers.
LANDSCAPE SERVICES

All formal hedges shall be sheared to maintain desired shape and height.

Dead or damaged portions of plants shall be removed whenever possible.

All plant material will be fertilized with a balanced slow release fertilizer in the dormant season and supplemented throughout the year as necessary to maintain vigorous healthy plant material. If such products are spilled the contractor must respond promptly to collect and properly dispose of the spilled product and clean up the impacted area. Spills should also be reported by the contractor to the appropriate environmental regulatory agencies in accordance with the law.

Contractor shall monitor trees and shrubs for signs of disease and insect infestations. If plants are affected appropriate recommendations for treatment shall be submitted to the Owner. When insect and/or disease problems are detected, legally approved chemicals are to be used to treat the problem.

Deep cut selective pruning and hard cutbacks will be performed on plant material during winter months, for corrective and restorative purposes. Owner shall be informed before any drastic cutbacks are performed.

All mulched shrub beds, maintained natural areas and walking paths, are to be treated with pre-emergence and post-emergence chemicals to control weeds. Weeds more than 2” tall are to be removed by hand and disposed of. Weeds less than 2” tall are to be treated with legally approved post-emergence herbicides.

All pruning debris is the responsibility of the Contractor. No debris may be disposed of on-site without the expressed permission of the customer.

All tree and shrub maintenance will follow species specific guidelines established from an agreed upon expert source such as the University of Illinois Extension service.

GROUND COVER & BEDS

Open ground between plants shall be kept weed-free using mechanical or chemical methods.

All plant material shall be free of insect and disease. At all times public and environmental safety is to be considered when applying pesticides.

Groundcovers shall be fertilized, with a complete fertilizer, as appropriate and as recommended by the Contractor.

Litter and debris shall be removed during maintenance visits in order to ensure a neat appearance.

Soil surfaces shall be raked smooth and cultivated regularly.

Vines shall be trimmed neatly against supporting structures and kept within bounds.

Groundcovers shall be kept trimmed within curbs and along walkways. They shall not be allowed to grow into or through shrubs or other plantings.

Sign faces and windows shall be kept clear of encroaching growth.
LANDSCAPE SERVICES

MULCH
Mulch should be installed one time per year, in April or early May to a depth of 4”. There should be no thin or bare areas. Mulch should not “volcano” up the tree trunks. The mulch will be included in your proposal price.

Mulch beds should be spade edged one time per year, at time new mulch is installed. Do not allow mulch to cover drain pipes, lights or other structures. Remove piles of leaves and leaves covering plant materials prior to mulching beds.

Use pre-emergent and post emergent herbicides and hand weeding to keep mulched areas free of weeds.

EXTERIOR COLOR MAINTENANCE
Spent or dead blooms, including stems, declining foliage and plant debris shall be removed to encourage continued blooming and maintain a neat appearance.

Plants shall be fertilized with either a balanced liquid or bloom-inducing fertilizer to promote lushness and colorful displays.

Plants shall be monitored for the presence of insects or diseases and shall be treated accordingly. An Integrated Pest Management (IPM) strategy, emphasizing least toxic choices, preventative cultural practices and most effective and cost-efficient results shall be implemented.

Soil shall be cultivated periodically to promote adequate aeration and to counteract the effects of soil compaction. Soil surfaces shall be left smooth to maintain a neat appearance.

Litter shall be removed as color is generally in a focal area. Color beds and containers shall not be void of plant material at any time. Should any plant material need to be replaced due to any type of damage a proposal for replacement shall be submitted and approved by the Owner prior to replacement installation. The Owner agrees to be financially responsible to replace plant materials on a timely basis.

OTHER SERVICES
Spring Clean-Up shall be performed as follows and should be included in the pricing. Spring clean-up shall include, but not be limited to, leaf removal from all beds, turning flower beds as appropriate, remove winter wrapping and remove any sand and gravel from beds and lawns.

Fall Clean-Up shall be performed as follows and should be included in the pricing. Fall clean up shall be in late fall after all leaves have fallen from the trees in the area. Work shall include, but not be limited to, leaf removal from all areas of the property, removal of all dead annual, cut back perennial plantings appropriately, wrap trees to prevent sunscald, covering of beds necessary to protect plants, etc.

The Contractor shall establish and maintain an effective communication system with the Owner.
OWNERS RESPONSIBILITIES & RIGHTS

The Owner shall facilitate the Contractor's Services Program by providing access to the Project premises during both regular business hours and, as is necessary, at other times so that the Contractor can conduct both regular scheduled landscape services and any special services.

The Owner retains the right to continue Landscape Maintenance Services Phase for an additional two (2) years. The fee for each additional year of service shall be the initial base service fee, plus an increase, by minimum amount, to be determined by reference to the Consumer Price Index for Urban Wage Earners and Clerical Workers in the Midwest Regional Area, published by the U.S. Department of Labor, Bureau of Labor Statistics. If the Index is no longer maintained, such other Index or Standard, as will most nearly accomplish the purpose of the Consumer Price Index shall be used in determining the adjustment.

MISCELLANEOUS CONTRACT PROVISIONS

ARBITRATION

Unless otherwise stipulated herein, all claims, disputes, and other matters in question, arising out of this agreement, between the parties to this Agreement or the breach thereof, shall be decided by arbitration in accordance with the Construction Industry Arbitration Rules of the American Arbitration Association then obtaining. No arbitration, arising out of or relating to this Agreement, shall include, by consolidation, joiner or any other manner, any additional person or a party to this Agreement except by written consent containing a specific reference to this Agreement and signed by the Contractor, the Owner and any other person sought to be joined. Any consent to arbitration involving an additional person or persons shall not constitute consent to arbitration of any dispute not described therein or with any person not named or described therein. This Agreement to arbitrate and any agreement to arbitrate with an additional person or persons duly consented to by the parties hereto shall be specifically enforceable under the prevailing arbitration law.

Notice of demand for arbitration shall be filed in writing with the other party to this Agreement and with the American Arbitration Association. The demand shall be made within a reasonable time after the claim, dispute or other matter in question has arisen. In no event shall the demand for arbitration be made after the date when institution of legal or equitable proceedings based on such claim, dispute or other matter in question would be barred by the applicable statute of limitations.

The award rendered by the arbitrators shall be final, and judgment may be entered upon it in accordance with applicable law in any court having jurisdiction thereof.
MISCELLANEOUS PROVISIONS

TERMINATION OF AGREEMENT
Owner may terminate this Agreement at any time for any reason by providing Contractor with a thirty (30) day notice of termination.

In the event of termination not the fault of the Contractor, the Contractor shall be compensated for all products and services supplied to the termination date.

CONFLICT OF LAWS, ASSIGNMENT & INTEGRATION CLAUSES
Unless otherwise specified, this Agreement shall be governed by the law of the State of Illinois.

Owner and the Contractor, respectively, bind themselves, their partners, successors, assigns and legal representatives to the other party with respect to all covenants of this Agreement. Neither the Owner nor the Contractor shall assign, sublet or transfer any interest in this Agreement without the written consent of the other.

This Agreement represents the entire and integrated agreement between the Owner and the Contractor and supersedes all prior negotiation, representations or agreements; either written or oral. This Agreement may be amended only by written instrument signed by both the Owner and the Contractor.

PREVAILING WAGE ACT
To the extent required by law, contractor shall not pay less than the prevailing wage as established pursuant to an Act Regulating The Wages of Laborers, Mechanics, and Other Workman employed under Contract for Public Workers 820 ILCS 130/1 et seq. Contractor shall submit certified payrolls and shall perform all other obligations required by said Act.

HUMAN RIGHTS ACT
To the extent required by law, contractor shall abide by the Illinois Human Right Act, 775 ILCS 10/0.01 et seq.

DRUG FREE WORKPLACE
To the extent required by law, contractor shall abide with the requirements of the Drug Free Workplace Act 30 ILCS 580.1 et seq.

SEXUAL HARASSMENT POLICY
Contractor represents by the signing of this Agreement that it has a written sexual harassment policy that is in accordance with 775 ILCS 5/2-105 (A)(4)
Proposals must adhere to the format of these bid forms and content of this RFP. Proposals will not be evaluated unless all parts of the bid form are submitted in a complete package. The information set forth is the minimum required in order to qualify for consideration.

Firm Name

Address

City, State, Zip

Telephone

Date

YEARELY LANDSCAPE SERVICES BID:
In compliance with your Invitation to Bid, we propose to furnish all materials, labor, equipment and services necessary to perform the Landscape Maintenance Services for a period of three (3) years for the landscaping and color bed work for the above stated project, for an annual fee of (inclusive of all state and local sales tax):

Crystal Lake Location

______________________________ Dollars ($__________) per Year.

McHenry Location

______________________________ Dollars ($__________) per Year.

Total Both Locations

______________________________ Dollars ($__________) per Year.
BID FORM PRICE AUTHORIZATION

By signing this bid form, such action certifies that the Bidder has personal knowledge of the following:

That said Bidder has examined the RFP and specifications, carefully prepared the bid form, and has checked the same in detail before submitting said bid; and that said bidder, or the agents, officers, or employees thereof, have not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of free competitive bidding in connection with this bid.

That all of said work will be performed at the Bidder’s own proper cost and expense. The Bidder will furnish all necessary materials, labor, tools, machinery, apparatus and other means of construction in the manner provided in the applicable specifications, and at the time stated in the contract.

The undersigned, being a reputable Landscape Contractor and having submitted the necessary pre-qualification forms, hereby submits in good faith and in full accordance with all specifications, attached or integral, his/her Bid Proposal for the landscape services of:

Project Name

Name of Contractor

Authorized Signature

Name & Title of Signatory

Type of Organization: Corporation, Partnership, Proprietorship

SEAL:

(if corporation)
BIDDER QUALIFICATIONS:
In order to qualify for the interior landscape work on this Project, bidders must submit all information requested in the following pages.

INSURANCE REQUIREMENTS
Submit a certificate of Insurance from your insurance agent or insurance company that evidences your company's ability to obtain the following minimum insurance requirements. Attach and label as Exhibit 1.

1. Workers Compensation
   Coverage A: Statutory
   Coverage B: $500,000 Bodily Injury by A
                 $500,000 Bodily Injury by B

2. Commercial Auto Liability Insurance for All Owners, Non-Owned and Hired Autos.
   $1,000,000 Combined Single Limit for Bodily Injury and Property Damage Liability

3. Commercial General Liability Insurance
   $2,000,000 General Aggregate
   $1,000,000 Products/Completed Operations Aggregate
   $1,000,000 Personal and Advertising Injury Limit
   $1,000,000 Combined Single Limit Bodily Injury for Each Occurrence

4. Umbrella/Access Liability Insurance
   $2,000,000 Each Occurrence
SUMMARY OF EXPERIENCE

Company Name: ____________________________
Main Office Location: ____________________________
Contact Name: ____________________________
Phone #: ____________________________
FAX#: ____________________________
Email ____________________________

Year Company founded: ____________________________ Years
in Landscape Maintenance: ____________________________ Last
calendar year landscape maintenance volume:__________________________

☐ Yes ☐ No Have you ever operated a landscaping business under another name? If so list previous name:

☐ Yes ☐ No Have you ever defaulted on a project? If so please explain:

☐ Yes ☐ No Do you have ALCA Certified Landscape Professionals on staff? If yes, please list them and attach a copy of their current certificate and label as Exhibit 2:
Expiration Date ____________ Expiration Date ____________
Expiration Date ____________ Expiration Date ____________

☐ Yes ☐ No Do you have ALCA Certified Landscape Technician(s) on staff? If yes, please list them and attach a copy of their current certificate and label as Exhibit 3:
Expiration Date ____________ Expiration Date ____________
Expiration Date ____________ Expiration Date ____________

☐ Yes ☐ No Do you have on staff a Licensed Pesticide Applicators licensed in the State of the Project’s locale? If yes, please list those who will be assigned to maintain the project and attach and label their current certificates as Exhibit 4:
License # ____________ License # ____________
License # ____________ License # ____________

☐ Yes ☐ No Are you a current member in good standing with the Associated Landscape Contractors of America (ALCA)?

List other industry association memberships:

List other services you provide:

List industry awards:
QUALITY ASSURANCE PROCEDURES

Services:
Submit one copy of the service reporting form used to communicate actions taken. Attach and label as Exhibit 5.

Do service technicians carry pagers or cell phones?

Quality Assurance:
For this project we will schedule quality assurance visits ______ times per year. Attach copy of the Quality Assurance Report and label as Exhibit 6.

Describe your quality control procedures:

Describe any other services scheduled:

Describe your method for handling customer complaints/problems:
REFERENCES
Complete and submit the following for three (3) projects of similar complexity as the project specified. Include a minimum of 2 photographs of each project and label appropriately. (duplicate the form as required)

Name of Project: __________________________________________

Address of Project: ________________________________________

Management Company: ______________________________________

Contact Person: ____________________________________________

Telephone Number: ________________________________________

Email: ____________________________________________________

Monthly Contract Amount:
☐ less than $500  ☐ $501-1,000  ☐ $1,001-2,000  ☐ $over 2,000

Years Serviced:
☐ less than 1 year  ☐ 1-3 years  ☐ 3-5 years  ☐ 5-10 years  ☐ over 10 years

Description of Work:

Other Remarks:
**LABOR ESTIMATES: MAIN CAMPUS**

Detail your labor estimates, based on the specified number of occurrences per year, for the following services (these estimates are required for the Owner to properly evaluate the Contractors Bid Proposal)

<table>
<thead>
<tr>
<th>Work Description (item, unit measure)</th>
<th>Estimated Labor Per Occurrence</th>
<th>Occurrences Per Year</th>
<th>Total Annual Service Fee</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Spring Cleanup, (hrs. of labor per occurrence)</td>
<td></td>
<td>1</td>
<td></td>
</tr>
<tr>
<td>2. Mowing &amp; Trimming of all turf areas, including cleanup after maintenance of all sidewalks, drives, etc. (hrs. of labor per occurrence)</td>
<td></td>
<td>26 minimum</td>
<td></td>
</tr>
<tr>
<td>3. Edging of all sidewalks, driveways and curbs (hrs. of labor, per occurrence)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4. Flower beds’ chemical &amp; manual weeding &amp; deadheading (hrs. of labor per occurrence, based on a May 15th installation date)</td>
<td></td>
<td>22 minimum</td>
<td></td>
</tr>
<tr>
<td>5. Fertilizing flower beds &amp; containerized flowers (hrs. of labor per occurrence)</td>
<td></td>
<td>3 minimum</td>
<td></td>
</tr>
<tr>
<td>6. Landscape planting bed &amp; tree base weed removal by chemical &amp; manual means (hrs. of labor per occurrence)</td>
<td></td>
<td>8 minimum</td>
<td></td>
</tr>
<tr>
<td>7. Fall Cleanup, includes summer annual flower removal. (hrs. of labor per occurrence)</td>
<td></td>
<td>3 minimum</td>
<td></td>
</tr>
<tr>
<td>8. Applications of fertilizer and weed preventers to all turf areas (hrs. of labor per occurrence)</td>
<td></td>
<td>3</td>
<td></td>
</tr>
<tr>
<td>9. Shrub shaping (hrs. of labor per occurrence)</td>
<td></td>
<td>3</td>
<td></td>
</tr>
<tr>
<td>10. Shrub Fertilization (hrs. of labor per occurrence)</td>
<td></td>
<td>1</td>
<td></td>
</tr>
<tr>
<td>11. Irrigation System Spring Start Up</td>
<td></td>
<td>1</td>
<td></td>
</tr>
<tr>
<td>12. Irrigation System Winterizing</td>
<td></td>
<td>1</td>
<td></td>
</tr>
<tr>
<td>13. Irrigation System Monitoring (hrs. of labor per occurrence)</td>
<td></td>
<td>26</td>
<td></td>
</tr>
<tr>
<td>Total (total hours in first column &amp; total annual fee, without sales tax, in third column)</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
**BID FORMS**

**LABOR ESTIMATES: SHAH CENTER**

Detail your labor estimates, based on the specified number of occurrences per year, for the following services (these estimates are required for the Owner to properly evaluate the Contractors Bid Proposal).

<table>
<thead>
<tr>
<th>Work Description (item, unit measure)</th>
<th>Estimated Labor Per Occurrence</th>
<th>Occurrences Per Year</th>
<th>Total Annual Service Fee</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Spring Cleanup, (hrs. of labor per occurrence)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. Mowing &amp; Trimming of all turf areas, including cleanup after maintenance of all sidewalks, drives, etc. (hrs. of labor per occurrence)</td>
<td></td>
<td>26 minimum</td>
<td></td>
</tr>
<tr>
<td>3. Edging of all sidewalks, driveways and curbs (hrs. of labor, per occurrence)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4. Flower beds’ chemical &amp; manual weeding &amp; deadheading (hrs. of labor per occurrence, based on a May 15\textsuperscript{th} installation date)</td>
<td></td>
<td>22 minimum</td>
<td></td>
</tr>
<tr>
<td>5. Fertilizing flower beds &amp; containerized flowers (hrs. of labor per occurrence)</td>
<td></td>
<td>3 minimum</td>
<td></td>
</tr>
<tr>
<td>6. Landscape planting bed &amp; tree base weed removal by chemical &amp; manual means (hrs. of labor per occurrence)</td>
<td></td>
<td>8 minimum</td>
<td></td>
</tr>
<tr>
<td>7. Fall Cleanup, includes summer annual flower removal. (hrs. of labor per occurrence)</td>
<td></td>
<td>3 minimum</td>
<td></td>
</tr>
<tr>
<td>8. Applications of fertilizer and weed preventers to all turf areas (hrs. of labor per occurrence)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>9. Shrub shaping (hrs. of labor per occurrence).</td>
<td></td>
<td>3</td>
<td></td>
</tr>
<tr>
<td>10. Shrub Fertilization (hrs. of labor per occurrence)</td>
<td></td>
<td>1</td>
<td></td>
</tr>
<tr>
<td>11. Irrigation System Spring Start Up</td>
<td></td>
<td>1</td>
<td></td>
</tr>
<tr>
<td>12. Irrigation System Winterizing</td>
<td></td>
<td>1</td>
<td></td>
</tr>
<tr>
<td>13. Irrigation System Monitoring (hrs. of labor per occurrence)</td>
<td></td>
<td>26</td>
<td></td>
</tr>
<tr>
<td><strong>Total (total hours in first column &amp; total annual fee, without sales tax, in third column)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
CONTRACTOR CERTIFICATION
Illinois Revised Statute 1987
Chapter 38, Sections 33E-3 and 33E-4

The undersigned hereby certifies that it is not barred from bidding on this contract as a result of violation of either Section 33E-3 (bid rigging) or 33E-4 (bid rotating) of the Illinois Revised Statutes 1987, Chapter 38.

Under penalty of perjury, the undersigned Contractor certifies that this proposal has not been arrived at collusively or otherwise in violation of Federal or Illinois antitrust laws.

Company Name

By* ______________________________

Address ________________________________________________________________

City/State/ZIP __________________________________________________________

* Must be actual signature in ink of a representative of Contractor authorized to legally commit the Contractor.

Section 33E-5(b) pertains to disclosure of information related to the terms of a bid and any bidder’s responsiveness to a request for bids. Specifically, district officials or employees must not knowingly open a sealed bid at a time or place other than as specified by the district. Also, any official who knowingly discloses any information related to the terms of a sealed bid or any bidder’s responsiveness to the request for bids commits a class 3 felony. This section does allow, however, that no violation occurs if any disclosure made to an interested person also is made generally available to the public. CONSEQUENTLY, COLLEGES SHOULD BE CAUTIOUS NOT TO DISCLOSE ANY INFORMATION THAT IS NOT RELEASED TO THE PUBLIC.

Section 33E-6 contains several provisions potentially impacting College purchasing procedures. SPECIFICALLY, A PERSON COMMITS A CLASS 4 FELONY WHEN INFORMATION CONCERNING THE SPECIFICATIONS OF A CONTRACT IS KNOWINGLY CONVEYED TO A BIDDER OR PROSPECTIVE BIDDER OTHER THAN THROUGH THE BID INVITATION, PRE-BID CONFERENCE, OR CONTRACT SOLICITATION PROCEDURE. Thus, once an INVITATION FOR BID for a particular contract is released, MCC cannot respond to individual inquiries from bidders. Likewise, no information may be volunteered concerning potential Subcontractors if the contract involves subcontracting work.
LETTER TO CONTRACTORS

Subject: OSHA HAZARDOUS COMMUNICATION STANDARD

To Whom It May Concern:

The Occupational Safety & Health Administration (OSHA) Hazardous Communication Standard (29 CFR 1910.1200) states that contractors/suppliers must be informed of the hazardous chemicals their employees may be exposed to while performing their work and any appropriate protective measures. In order to comply with this requirement, McHenry County College has developed a list of all the hazardous chemicals known to be present in our facility. A Material Safety Data Sheet (MSDS/SDS) is also on file for each of these chemicals and/or hazardous substances. This information is available to you and to your employees upon request.

In order to protect the safety and health of our own employees as well as the employees of contractors/suppliers, contractor/suppliers must maintain and provide, upon request, an MSDS/SDS on any hazardous chemical(s) or material(s) which they bring to the facility. Failure to maintain an MSDS/SDS and provide this information in a timely manner will result in the removal of the contractor/supplier from the premises.

Each employer is also responsible for notifying any subcontractor they employ regarding the requirements of OSHA’s Hazard Communication Standard and other provisions described in this letter.

If we can be of any further assistance, please feel free to contact me at 815-759-7833.

Sincerely,

McHenry County College
EXCEPTIONS TO BID

(PLEASE LIST BELOW, ATTACH SHEETS IF NECESSARY): Any exceptions, variations, or clarifications to the Specifications must be set forth on this form and included with the Bid Proposal Form. These exceptions must be spelled out in detail, numbered, and make reference to exact page number for easy comparison.

Failure of the bidder to note on this form any and all exceptions to any portion of the specifications, means that the bidder must meet or exceed in all respects any and all specifications not so noted.

Failure to denote exceptions in the above manner may be cause for rejection of the entire bid.

_______________________________________________________________________________________
_______________________________________________________________________________________
_______________________________________________________________________________________
_______________________________________________________________________________________
_______________________________________________________________________________________
_______________________________________________________________________________________
_______________________________________________________________________________________
_______________________________________________________________________________________
_______________________________________________________________________________________
_______________________________________________________________________________________
_______________________________________________________________________________________
_______________________________________________________________________________________
**BID FORMS**

**Form W-9**

Request for Taxpayer Identification Number and Certification

Given to the person that does not need to be sent to the IRS.

Name as shown on your tax return:

Business name/designed entity name, if different from above:

- [ ] Check appropriate box for social security classification:
  - Individual/self-proprietor
  - Corporation
  - Partnership
  - Trust/estate

- [ ] Limited liability company.

Note: If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

**Part I**

Taxpayer Identification Number (TIN)

- Social security number

- Employer identification number

**Part II**

Certification

Under penalties of perjury I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued), and

2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) have not been notified by the IRS that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and

3. I am a U.S. citizen or other U.S. person (defined below), and

4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions: You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

**General Instructions**

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments: The IRS has created a page on IRS.gov for information about Form W-9, where it provides information about any future developments affecting Form W-9 (such as changes in the tax law) that may affect individuals. The form is subject to future developments affecting Form W-9 (such as changes in the tax law) that may affect individuals. The form is subject to future developments affecting Form W-9 (such as changes in the tax law) that may affect individuals.

**Purpose of Form**

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, all income paid to you, payments made to you in settlement of a personal injury, and the expense (such as lodging or entertainment) that may be allowed in a real estate transaction, mortgage interest paid, acquisition of debt, or contributions made to a IRA.

Use Form W-9 only if you are a U.S. person (including a U.S. alien) that is either a financial institution or engaged in a trade or business in the United States or is a retirement plan or a charitable organization. Form W-9 is the form used to report the following information:

- [ ] The name and address of the payee on the Form 1099-MISC.

**Special Rules for Partnerships**

Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax under section 1446 on any foreign partners' share of effectively connected income, and

4. Certify that the FATCA code(s) entered on this form (if any) indicating that you are exempt from FATCA reporting is correct.

Note: If you are a U.S. person and a nonresident alien, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

**Definition of a U.S. Person**

For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,

- A partnership, corporation, company, or association created or organized in or under the laws of the United States,

- An estate (other than a foreign estate), or

- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax under section 1446 on any foreign partners' share of effectively connected income from such business. Further, in certain cases where a Form W-9 has been received, the rules under section 1446 require a partnership to presume that a partner is a foreign person, and pay the section 1446 withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid section 1446 withholding on your share of partnership income.

Cat. No. 10281X

Form W-9 (Rev. 8-2013)